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PTO/SB/21 (04-04)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	10/551,831
		Filing Date	Oct. 3, 2005
		First Named Inventor	Jun IZUMI
		Art Unit	1711
		Examiner Name	Olga Asinovsky
Total Number of Pages in This Submission		Attorney Docket Number	1217-052758

ENCLOSURES (Check all that apply)

- Fee Transmittal Form
 - Fee Attached
- Amendment/Reply
- After Final
- Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Documents
- Response to Missing Parts/ Incomplete Application
 - Response to Missing Parts under 37 CFR 1.52 or 1.53

- Drawing(s)
- Licensing-related Papers
- Petition
- Petition to Convert to a Provisional Application
- Power of Attorney, Revocation Change of Correspondence Address
- Terminal Disclaimer
- Request for Refund
- CD, Number of CD(s)

Remarks

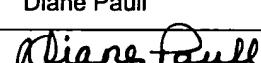
- After Allowance communication to Technology Center (TC)
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
- Proprietary Information
- Status Letter
- Other Enclosure(s) (please identify below)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Kent E. Baldauf The Webb Law Firm	
Signature		
Date	August 8, 2006	

CERTIFICATE OF TRANSMISSION/MAILING

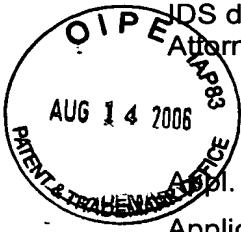
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Diane Paull		
Signature		Date	08-08-2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Appl. No. 10/551,831
IDS dated August 8, 2006
Attorney Docket No. 1217-052758



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/551,831 Confirmation No. 3943
Applicants : Jun IZUMI et al.
Filed : October 3, 2005
Title : Modified Cycloolefin Copolymer, Process for Producing the Same, and Use of the Polymer
Art Unit : 1711
Examiner : Olga Asinovsky
Customer No. : 28289

**INFORMATION DISCLOSURE STATEMENT
TRANSMITTED BEFORE MAILING OF FIRST OFFICE ACTION**

MAIL STOP AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants hereby submit this Information Disclosure Statement together with a completed Form PTO/SB/08a.

Reference JP 5-255566 is cited on page 3 of the instant application and is equivalent to Canadian Patent No. 2,077,411. References JP 3-95286, JP 2000-298350, and JP 6-211937 are cited on pages 3 and 4 of the instant specification. JP 2000-298350 corresponds to U.S. Patent Nos. 6,562,554 and 6,124,074. JP 6-211937 corresponds to U.S. Patent No. 5,439,722. Copies of the foreign references are enclosed herewith.

This application is the United States national phase of PCT Application No. PCT/JP03/16588. The International Search Report dated April 20, 2004, for the underlying PCT application identified the remaining three foreign references listed on Form PTO/SB/08a. Reference WO 98-18837 corresponds to U.S. Patent Application Publication No. 2002-0103303. Copies of the three references cited in the International Search Report are submitted herewith. The degree of relevance for the non-English language references listed on Form PTO/SB/08a is indicated in the International Search Report. A copy of the International Search Report is enclosed for the Examiner's convenience.

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Diane Paull

(Name of Person Mailing Document)

Diane Paull 08/08/2006
Signature Date

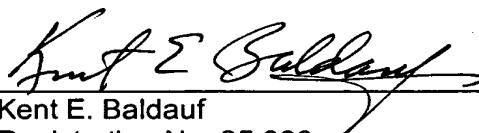
Appl. No. 10/551,831
IDS dated August 8, 2006
Attorney Docket No. 1217-052758

No fee is believed to be due for the filing of this Information Disclosure Statement as it is being submitted before a first Office Action on the merits. Nevertheless, the Commissioner for Patents is hereby authorized to charge any additional fees which may be required to Deposit Account No. 23-0650. This Information Disclosure Statement is submitted in duplicate.

Respectfully submitted,

THE WEBB LAW FIRM

By



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PTO/SB/08a (07-05)

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

<p>Substitute for form 1449A/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p><i>(Use as many sheets as necessary)</i></p>				<p>Complete if Known</p>	
				Application Number	10/551,831
				Filing Date	Oct. 3, 2005
				First Named Inventor	Jun IZUMI
				Art Unit	1711
				Examiner Name	Olga Asinovsky
Sheet	1	of	1	Attorney Docket Number	1217-052758

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶	
		Country Code ³	Number ⁴ Kind Code ⁵ (if known)					
		JP(1993)	05-255566	A	10-05-1993	Hoechst AG	Equiv. to Canadian patent	
		CA	2,077,411	A1	03-04-1993	Hoechst AG		
		JP(1991)	03-095286	A	04-19-1991	Mitsui Petrochem Ind	English Abstract attached	
		JP(2000)	2000-298350	A	10-24-2000	IBM	Equiv. US '554 and '074	
		JP(1994)	6-211937	A	08-02-1994	Hoechst AG	Equiv. US patent '722	
		WO	98/18837	A1	05-07-1998	Nippon Zeon Co et al.	Equiv. US app '303	
		JP(1994)	6-172423	A	06-21-1994	Idemitsu Kosan Co.	English Abstract attached	
		JP(1998)	10-007736	A	01-13-1998	Mitsui Petrochem Ind	English Abstract attached	

Examiner Signature		Date Considered	
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***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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